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Docket No.: 0091-0250PUS1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Fredrik GUNNARSSON

Application No.: 10/565,163

Confirmation No.: 7302

Filed: January 19, 2006

Art Unit: 2615

For: AN AUDIO STEREO PROCESSING
METHOD, DEVICE AND SYSTEM

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL
REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE
1.114 RCE APPLICATION)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

II. COPIES

- ☒ a. Copies of cited U.S. patents and patent application publications are not included.
Copies of foreign patent documents and non-patent literature are included.

☐ b. Some or all of the documents listed on the PTO-SB08 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

☐ c. REFERENCES PREVIOUSLY CITED OR SUBMITTED - Pursuant to 37 C.F.R. §1.98(d), consideration of information listed on the PTO-SB08 form(s) is requested since any patents, publications, or other information which are listed on the PTO-SB08 form(s) but for which copies are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Appl. No(s) and U.S. Filing Date

filed

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

☒ a. DOCUMENTS IN THE ENGLISH LANGUAGE - The patents, publications, or other information listed on the attached PTO SB08 are in the English language and therefore, do not require a statement of relevancy.

☐ b. DOCUMENTS NOT IN THE ENGLISH LANGUAGE - A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

☐ c. ENGLISH LANGUAGE SEARCH REPORT - An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

☐ d. OTHER - The following additional information is provided for the Examiner's consideration.

IV. FEES (check one box)

☐ a. This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

☐ b. This Information Disclosure Statement is being filed concurrent with the filing of a continuation-in-part, continuation, or divisional patent application; therefore, no fee is required.

☐ c. This Information Disclosure Statement is being filed within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required.
(This section is not to be used with RCE's.)

☐ d. This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or statement is required.

☐ e. This Information Disclosure Statement is being filed concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.

☒ f. This Information Disclosure Statement is being filed before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account for the fee as required by 37 C.F.R. § 1.17(p).

☐ g. This Information Disclosure Statement is being filed before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).

☐ No statement; therefore, a fee as required by 37 C.F.R. § 1.17(p) is attached.

or

☐ See the statement below. No fee is required.

V. STATEMENT UNDER 37 C.F.R. § 1.97(e)

(check only one box)

The undersigned hereby states that:

☐ a. Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this IDS; or

☐ b. Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

☐ c. No item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.

☐ d. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VI. PAYMENT OF FEES (check one box)

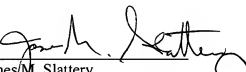
- ☐ The required fee is listed on the attached Fee Transmittal.
- ☒ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: July 5, 2006

Respectfully submitted,

By 
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Attachment(s):

- ☒ PTO-SB08
☒ Documents
☐ Foreign Search Report
☐ Fee
☐ Other:



PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0551-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/B/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/565,163-Conf. #7302
		Filing Date	January 19, 2006
		First Named Inventor	Fredrik GUNNARSSON
		Art Unit	2615
		Examiner Name	Not Yet Assigned
Sheet	1	of	2
		Attorney Docket Number	0091-0250PUS1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
/Giv/	AA*	US-3,892,624-A	07-01-1975	Shimada		
	AB*	US-5,892,830-A	04-06-1999	Klayman		
	AC*	US-5,579,395-A	11-26-1996	Hori		
	AD*	US-5,502,772-A	03-26-1996	Felder		
	AE*	US-5,579,396-A	11-26-1996	IIDA et al.		
	AF*	US-6,731,765-B1	05-04-2004	Sotome		
	AG*	US-2005/0201582-A1	09-15-2005	Hughes, II et al.		
	AH*	US-4,418,243-A	11-29-1983	Fixer		
	AI*	US-5,117,459-A	05-26-1992	McShane		
	AJ*	US-2,836,662-A	05-27-1958	Vanderlyn		
	AK*	US-3,560,656-A	02-02-1971	Gilbert		
	AL*	US-4,069,394-A	01-17-1978	Doi et al.		
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	AO*	US-3,241,631-A	03-22-1966	Manieri		
	AP*	US-4,837,826-A	06-06-1989	Schubach		
	AQ*	US-2005/0221867-A1	10-06-2005	Zurek et al.		
	AR*	US-4,356,349-A	10-26-1982	Robinson		
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	AT*	US-3,970,787-A	07-20-1976	Searle		
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	AV*	US-5,970,153-A	10-19-1999	Petroff		
	AW*	US-5,546,468-A	08-13-1996	Beard		
	AX*	US-4,572,325-A	02-25-1986	Schubach		
	AY*	US-6,991,289-B2	02-05-2004	House		
	AZ*	US-5,850,454-A	12-15-1998	Hawks		
	AA1*	US-4,819,269-A	04-04-1989	Klayman		
	AB1*	US-2004/0170281-A1	09-02-2004	Nelson		
	AC1*	US-4,349,697-A	09-14-1982	Skabla		
	AD1*	US-5,553,147-A	09-03-1996	Pineau		
	AE1*	US-4,596,034-A	06-17-1986	Moncrieff, Peter J.		
	AF1*	US-4,394,537-A	07-19-1983	Shima et al.		
	AG1*	US-6,169,812-B1	01-02-2001	Miller		
	AH1*	US-5,596,034-A	01-21-1997	Krishnan, et al.		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² Number ³ Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
/Giv/	BA	WO-97/30566-A1	08-21-1997	NELSON et al.		✓
/Giv/	BB	EP-0 773 702-A2	05-14-1997	Klayman		✓

Examiner Signature	/George Monikang/	Date Considered	12/09/2007
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Substitute for form 1449A/B/PTO <h2 style="text-align: center; margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; margin: 5px 0;">(Use as many sheets as necessary)</p>				Complete if Known	
Application Number				10/565,163-Conf. #7302	
Filing Date				January 19, 2006	
First Named Inventor				Fredrik GUNNARSSON	
Art Unit				2615	
Examiner Name				Not Yet Assigned	
Attorney Docket Number				0091-0250PUS1	

Sheet	2	of	2
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied under 37 CFR 1.96(a)(2)(iii) because that application was filed after June 30, 2003 or is available in the IFW. * Applicant's unique citation designation number (optional). * See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. * Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. * Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
/GM/	CA	Michael Gayford, "Microphone Engineering Handbook," ISBN 07506 1199 5, pages 395-396.		
/GM/	CB	Timothy M. Bock, Crown International, Inc., Elkhart, Indiana, and D. (Don) B. Keele, Jr., Techtron, Division of Crown International, Inc., "The Effects of Interaural Crosstalk on Stereo Reproduction and Minimizing Interaural Crosstalk in Nearfield Monitoring by the use of a Physical Barrier, Part 1, Presented at the 81st Convention, 1986, Nov. 12-16, Los Angeles, CA, An Audio Engineering Society Preprint.		
/GM/	CC	John Eargle, "Handbook of Recording Engineering," USBN0-442-0053-9, pages 258-259.		
/GM/	CD	B.B. BAUER, "PHASOR ANALYSIS OF SOME STEREOPHONIC PHENOMENA," J. Acous. Soc. Am., Vol. 33, No. 11. (Nov. 1961).		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/George Monikang/	Date Considered	12/09/2007
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